

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

BLACK BORDERS

- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

JCWSCS 29 APR 2004

FILE COPY

04/28/2004 13:48 FAX 1 415 398 3249

DORSEY & WHITNEY LLP-SP

001



FAX COVER SHEET

The information contained in this facsimile message, if a client of this firm is a named addressee, or the message is otherwise intended for a client, is presumptively legally privileged and confidential information. If you are not a named addressee, or if there is any reason to believe that you may have received this message in error, (1) do not read the message below; (2) do not distribute or copy this facsimile; and (3) please immediately call us collect at the number of the sender below.

| | | | |
|------------|--|---|------------------------|
| DATE: | April 28, 2004 | TOTAL # OF PAGES: (INCLUDING THIS COVER SHEET) | 5 |
| TO: | Office of Initial Patent Examination's Filing Receipt Corrections | FAX #: | (703) 746-9195 |
| FIRM NAME: | U.S. Patent and Trademark Office | PHONE #: | |
| FROM: | Todd A. Lorenz | FAX #: | (415) 398-3249 |
| PHONE #: | (415) 544-7015 | EMAIL: | lorenz.todd@dorsey.com |

COMMENTS:

Request for Corrected Filing Receipt

ORIGINAL WILL BE SENT VIA: ☐ MAIL ☐ E-MAIL ☐ MESSENGER ☐ AIR COURIER ☒ WILL NOT BE SENT

PLEASE CONTACT JESSICA NEWLIN AT (415) 544-7083 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

REFERENCE # A-69938-1

DORSEY & WHITNEY LLP • WWW.DORSEY.COM • T 415.781.1989 • F 415.398.3249
FOUR EMBARCADERO CENTER • SUITE 3400 • SAN FRANCISCO, CALIFORNIA 94111-4187

USA CANADA EUROPE ASIA

PATENT

Docket No. A-69988-1/TAL/NHT (465840-486)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

BUELOW *et al.*

Serial No.: 10/661,462

Filed: September 13, 2003

For: **Carbon Monoxide Generating
Compound for Treatment of Vascular,
Inflammatory and Immune Disorders**

Group No.: To be assigned

Examiner: To be assigned

Certificate of Facsimile Submission

I hereby certify that this correspondence is being
submitted by facsimile to the Office of Initial Patent
Examination's Filing Receipt Corrections with the United
States Patent Office at Facsimile No. (703) 746-9195.

Dated: April 28, 2004Signed: Jessica Newlin
Jessica Newlin

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request the Patent Office to make the following correction to the Official
Filing Receipt.

In the "Domestic Priority data as claimed by applicant" of the receipt, it should read:

This application is a CIP of 10/115,276 filed 04/01/2002 and
claims priority to U.S. Provisional Application Serial No.
60/280,526 filed March 30, 2001.

Applicants have enclosed a copy of the Official Filing Receipt with the change
indicated in red. No fee is believed due for this request. If this belief is in error, the
Commissioner is authorized to charge any required fees, or credit any overpayment to
Dorsey & Whitney LLP Deposit Account No. 50-2319 (Order No. A-69988-1/TAL/NHT
(465840-00486)).

U.S. Serial No: 10/661,462
Filing Date: September 13, 2003


Please direct any calls in connection with this application to the undersigned at (415)
781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: 4/28/04
Customer Number: 32940
Dorsey & Whitney LLP
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187
Telephone: (415) 781-1989
Facsimile: (415) 398-3249

BY:


Todd A. Lorenz, Reg. No. 39,754



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1459
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|---------------------------|----------|----------|----------|
| 10/661,462 | 09/13/2003 | 1614 | 1556 | A-69988-1/TAL/NHT (465840 | 13 | 15 | 6 |

CONFIRMATION NO. 7156

32940
 DORSEY & WHITNEY LLP
 INTELLECTUAL PROPERTY DEPARTMENT
 4 EMBARCADERO CENTER
 SUITE 3400
 SAN FRANCISCO, CA 94111

UPDATED FILING RECEIPT

OC000000012298351

Date Mailed: 04/07/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Roland Buelow, Palo Alto, CA;
 Jacky Woo, San Jose, CA;
 Suhasini Iyer, San Ramon, CA;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/115,276 04/01/2002 Filed 04/01/2002
 and claims priority to US Provisional Application Serial No. 60/280,526
 filed March 30, 2001.

Foreign Applications

If Required, Foreign Filing License Granted: 01/16/2004

Projected Publication Date: 07/15/2004

Non-Publication Request: No

Early Publication Request: No

Title

Carbon monoxide generating compounds for treatment of vascular, inflammatory and immune

disorders

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).